

Receipt #3

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PTO/SB/21 (08-00)

Approval for use through 10/31/2002 OMB 0651-0031
U S Patent and Trademark Office, U S DEPARTMENT OF COMMERCE

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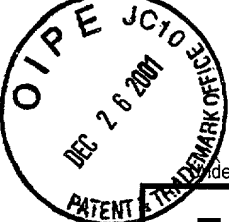
<h1 style="margin: 0;">TRANSMITTAL FORM</h1> <p style="margin: 10px 0;">(to be used for all correspondence after initial filing)</p>	Application Number	09/912,079	
	Filing Date	Jul 24, 2001	
	First Named Inventor	Collart, Todd R.	
	Group Art Unit	2652	
	Examiner Name		
Total Number of Pages in This Submission	119	Attorney Docket Number	70696

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <div style="margin-left: 20px;"><input type="checkbox"/> Fee Attached</div> <input type="checkbox"/> Amendment / Reply <div style="margin-left: 20px;"><input type="checkbox"/> After Final</div> <div style="margin-left: 20px;"><input type="checkbox"/> Affidavits/declaration(s)</div> <input checked="" type="checkbox"/> Extension of Time Request <div style="margin-left: 20px;"><input type="checkbox"/> Express Abandonment Request</div> <div style="margin-left: 20px;"><input type="checkbox"/> Information Disclosure Statement</div> <div style="margin-left: 20px;"><input type="checkbox"/> Certified Copy of Priority Document(s)</div> <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <div style="margin-left: 20px;"><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53</div>	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <div style="margin-left: 20px;"><input type="checkbox"/> Licensing-related Papers</div> <div style="margin-left: 20px;"><input type="checkbox"/> Petition</div> <div style="margin-left: 20px;"><input type="checkbox"/> Petition to Convert to a Provisional Application</div> <div style="margin-left: 20px;"><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address</div> <div style="margin-left: 20px;"><input type="checkbox"/> Terminal Disclaimer</div> <div style="margin-left: 20px;"><input type="checkbox"/> Request for Refund</div> <div style="margin-left: 20px;"><input type="checkbox"/> CD, Number of CD(s) _____</div>	<div style="margin-left: 20px;"><input type="checkbox"/> After Allowance Communication to Group</div> <div style="margin-left: 20px;"><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences</div> <div style="margin-left: 20px;"><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)</div> <div style="margin-left: 20px;"><input type="checkbox"/> Proprietary Information</div> <div style="margin-left: 20px;"><input type="checkbox"/> Status Letter</div> <div style="margin-left: 20px;"><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Specification with claims on separate sheet (82 pages) Filing Receipt Correction w/attachment (2 pages) Return postcards</div>
<div style="border: 1px solid black; height: 20px; width: 100%;"></div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FITCH, EVEN, TABIN & FLANNERY, by Thomas F. Lebens
Signature	
Date	December 14, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: December 14, 2001			
Typed or printed name	Thomas F. Lebens		
Signature		Date	December 14, 2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents Washington, DC 20231.



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 530		Complete if Known	
		Application Number	09/912,079
		Filing Date	7/24/2001
		First Named Inventor	Collart
		Examiner Name	
		Group Art Unit	2652
		Attorney Docket No.	70696

METHOD OF PAYMENT		FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 06-1135 Deposit Account Name: FITCH, EVEN, TABIN & FLANNERY <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		3. ADDITIONAL FEES					
2. Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	
		105	130	205	65	Surcharge – late filing fee or oath	65
		127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
		139	130	139	130	Non-English specification	
		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
		115	110	215	55	Extension for reply within first month	55
		116	400	216	200	Extension for reply within second month	
		117	920	217	460	Extension for reply within third month	
		118	1,440	218	720	Extension for reply within fourth month	
		128	1,960	228	980	Extension for reply within fifth month	
		119	320	219	160	Notice of Appeal	
		120	320	220	160	Filing a brief in support of an appeal	
		121	280	221	140	Request for oral hearing	
		138	1,510	138	1,510	Petition to institute a public use proceeding	
		140	110	240	55	Petition to revive – unavoidable	
		141	1,280	241	640	Petition to revive – unintentional	
		142	1,280	242	640	Utility issue fee (or reissue)	
		143	460	243	230	Design issue fee	
		144	620	244	310	Plant issue fee	
		122	130	122	130	Petitions to the Commissioner	
		123	50	123	50	Processing fee under 37 CFR 1.17(q)	
		126	180	126	180	Submission of Information Disclosure Stmt	
		581	40	581	40	Recording each patent assignment per property (times number of properties)	40
		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
		179	740	279	370	Request for Continued Examination (RCE)	
		169	900	169	900	Request for expedited examination of a design application	
		Other fee (specify) _____					
		Reduction by Basic Filing Fee Paid					
		SUBTOTAL (3)					(\$) 160

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 370

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20**=	X	
Multiple Dependent	-3**=	X	

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

** or number previously paid, if greater, For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Thomas F. Lebens	Registration No. (Attorney/Agent)	38221
Signature		Telephone	858-587-7644
		Date	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/912,079	07/24/2001	Todd R. Collart	70696

22242
FITCH EVEN TABIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406

CONFIRMATION NO. 3412

FORMALITIES LETTER



OC000000006558733

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

12/28/2001 NNDHAMM1 00000015 061135 09912079

01 FC:201 370.00 CH
02 FC:205 65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE